

ATTORNEY DOCKET NO: AMKOR-007C2
TITLE: PLASTIC INTEGRATED CIRCUIT PACKAGE AND METHOD AND
HEADFRAME FOR MAKING THE PACKAGE

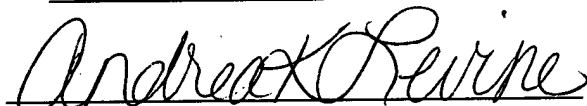


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Andrea K. Levine

(Typed name of person signing certificate)

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3. Fee Transmittal (in duplicate);
4. Petition for Consideration of Information Statement (2 pages);
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6. Copy of Acknowledgement Receipt;
7. A Check for \$130.00; and
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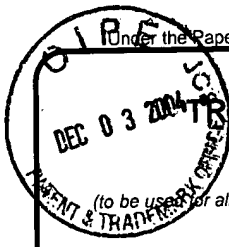
12-06-04

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

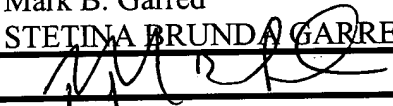
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	TRANSMITTAL FORM	
	Application Number	10/626,150
	Filing Date	July 24, 2003
	First Named Inventor	Thomas P. Glenn
	Art Unit	2815
	Examiner Name	Nguyen, Joseph H
Total Number of Pages in This Submission	Attorney Docket Number	AMKOR-007C2

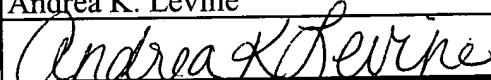
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Certificate of Mailing; Copies of Disclosure Materials Previously Submitted; and Return Receipt Postcard
Remarks Customer No. 007663		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Mark B. Garred	
Signature		
Date	12/3/04	

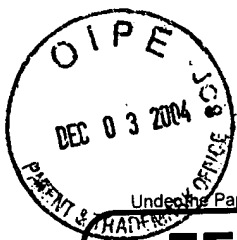
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Typed or printed name	Andrea K. Levine	
Signature		Date 12-3-04

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 130.00

Complete if Known

Application Number 10/626,150
Filing Date 07/24/2003
First Named Inventor Thomas P. Glenn
Examiner Name Nguyen, Joseph H.
Art Unit 2815
Attorney Docket No. AMKOR-007C2

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number
Deposit
Account
Name

19-4330

Stetina Brunda Garred & Brucker

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims		Extra Claims		Fee from below		Fee Paid
Independent	Multiple Dependent	-20** =	-3** =	X	X	

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	7650	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	130.00
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.00

SUBMITTED BY

Name (Print/Type) Mark B. Garred

Registration No. 34,823
(Attorney/Agent)

Telephone 949-855-1246

Signature

Date 12/3/04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.
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Application No.: 10/626,150
Attorney Docket: AMKOR-007C2

12-06-04

IFW ✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Thomas P. Glenn)	Confirmation No.	9302
)		
Serial No.:	10/626,150)	Art Unit:	2815
)		
Filed:	July 24, 2003)	Examiner:	Nguyen,
)		Joseph H
For:	Plastic Integrated Circuit Package and)		
	Method and Leadframe for Making)		
	the Package)		

PETITION FOR CONSIDERATION OF INFORMATION DISCLOSURE
STATEMENT

Mail Stop ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir/Madam:

On September 28, 2004, a Notice of Allowance was rendered by the U.S. Patent and Trademark Office in relation to the above-identified continuation patent application. Applicant has not yet paid the Issue Fee in relation to this Application.

On May 6, 2004, Applicant electronically filed six (6) Information Disclosure Statements. Applicant recently obtained a complete copy of the file history for the present application for purposes of verifying that all the prior art references submitted by the Applicant during the prosecution of the present application were in fact part of the file wrapper, and thus would be identified on the face of any patent issuing upon the payment of the Issue Fee in relation to the present application. During the review of the file wrapper, Applicant discovered that the fifth of the six electronic Information Disclosure Statements filed May 6, 2004 was not included in the file history of the present application despite Applicant having an Acknowledgement Receipt evidencing the filing thereof. A copy of this fifth Information Disclosure Statement missing from the file wrapper for the present

12/07/2004 WASFAW2 00000119 10626150

01 FC:1464

130.00 OP

Application No.: 10/626,150
Attorney Docket: AMKOR-007C2

application is attached hereto, as is a copy of the Acknowledgement Receipt from the U.S. Patent and Trademark Office evidencing the filing thereof on May 6, 2004.

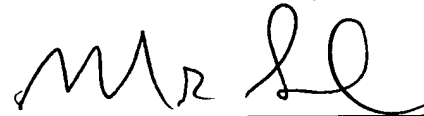
Applicant's representative has telephonically spoken with Examiner Nguyen regarding the aforementioned events, and was instructed by Examiner Nguyen to submit the present Petition. Since Applicant's filing of the fifth Information Disclosure Statement referred to above on May 6, 2004 occurred well in advance of the September 28, 2004 Notice of Allowance date and since Applicant has not yet paid the Issue Fee in relation to the present application, it is respectfully requested that such application be returned to Examiner Nguyen for consideration and entry of the un-entered fifth Information Disclosure Statement of May 6, 2004.

If any additional fee is required, please charge Deposit Account Number 19-4330.

Respectfully submitted,

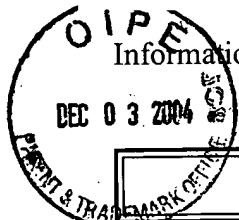
Date: 12/3/04

By:



Customer No.: 007663

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**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of
Invention****PLASTIC INTEGRATED CIRCUIT PACKAGE AND METHOD
AND LEADFRAME FOR MAKING THE PACKAGE**

Application Number: 10/626150

10/626150

Confirmation Number: 9302

First Named Applicant: THOMAS GLENN

Attorney Docket Number: AMKOR007C2

Art Unit: 2815

Search string: (6143981 or 6169329 or 6177718 or 6181002 or 6184573 or
6184465 or 6194777 or 6197615 or 6198171 or 6201186 or
6201292 or 6204554 or 6208023 or 6208020 or 6208021 or
6211462 or 6218731 or 6222258 or 6222259 or 6225146 or
6229200 or 6229205 or 6239367 or 6239384 or 6242281 or
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6309909 or 6316822 or 6316838 or 6323550 or 6326243 or
6326244 or 6326678 or 6335564 or 6337510 or 6339255).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

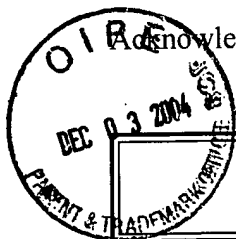
init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	6143981	2000-11-07	Glenn			
	2	6169329	2001-01-02	Farnworth et al.			
	3	6177718	2001-01-23	Kozono			
	4	6181002	2001-01-30	Juso et al.			
	5	6184573	2001-02-06	Pu			
	6	6184465	2001-02-06	Corisis			
	7	6194777	2001-02-27	Abbott et al.			
	8	6197615	2001-03-06	Song et al.			
	9	6198171	2001-03-06	Huang et al.			
	10	6201186	2001-03-13	Daniels et al.			
	11	6201292	2001-03-13	Yagi et al.			

	12	6204554	2001-03-20	Ewer et al.
	13	6208023	2001-03-20	Nakayama et al.
	14	6208020	2001-03-27	Minamio et al.
	15	6208021	2001-03-27	Ohuchi et al.
	16	6211462	2001-04-03	Carter, Jr. et al.
	17	6218731	2001-04-17	Huang et al.
	18	6222258	2001-04-24	Asano et al.
	19	6222259	2001-04-24	Park et al.
	20	6225146	2001-05-01	Yamaguchi et al.
	21	6229200	2001-05-08	McLellan et al.
	22	6229205	2001-05-08	Jeong et al.
	23	6239367	2001-05-29	Hsuan et al.
	24	6239384	2001-05-29	Smith et al.
	25	6242281	2001-06-05	McLellan et al.
	26	6256200	2001-07-03	Lam et al.
	27	6258629	2001-07-10	Niones et al.
	28	6281566	2001-08-28	Magni
	29	6281568	2001-08-28	Glenn et al.
	30	6282095	2001-08-28	Houghton et al.
	31	6285075	2001-09-04	Combs et al.
	32	6291271	2001-09-18	Lee et al.
	33	6291273	2001-09-18	Miyaki et al.
	34	6294100	2001-09-25	Fan et al.
	35	6294830	2001-09-25	Fjelstad
	36	6295977	2001-10-02	Ripper et al.
	37	6297548	2001-10-02	Moden et al.
	38	6303984	2001-10-16	Corisis
	39	6303997	2001-10-16	Lee
	40	6307272	2001-10-23	Takahashi et al.
	41	6309909	2001-10-30	Ohgiyama
	42	6316822	2001-11-13	Venkateshwaran et al.
	43	6316838	2001-11-13	Ozawa et al.
	44	6323550	2001-11-27	Martin et al.
	45	6326243	2001-12-04	Suzuya et al.
	46	6326244	2001-12-04	Brooks et al.
	47	6326678	2001-12-04	Karmezos et al.

	48	6335564	2002-01-01	Pour
	49	6337510	2002-01-08	Chun-Jen et al
	50	6339255	2002-01-15	Shin

Signature

Examiner Name	Date

**UNITED STATES PATENT AND TRADEMARK OFFICE
ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1

Stylesheet Version v1.1.1

**Title of
Invention****PLASTIC INTEGRATED CIRCUIT PACKAGE AND METHOD AND
LEADFRAME FOR MAKING THE PACKAGE**

Submission Type: Information Disclosure Statement

Application Number: 10/626150

10/626150

EFS ID: 60529

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	9302
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant: THOMAS GLENN

Attorney Docket Number: AMKOR007C2

Timestamp: 2004-05-06 18:08:28 EDT

From: us

File Listing:

Doc. Name	File Name	Size (Bytes)
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us-ids	us-ids.xsl	12026
package-data	ids5-pkda.xml	1909
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
Total files size		77476

Message Digest: 65ebd37a3612766414787b2edd8b05ce5a803147

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Attorneys,ou=Patent and Trademark
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Government,c=US